



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Ragina Naidu

Application No.

10/695,416

Filed

October 27, 2003

For

SEMI-SYNTHESIS OF TAXANE INTERMEDIATES

FROM 9-DIHYDRO-13-ACETYLBACCATIN III

Art Unit

: 1625

Docket No.

740082.407

Date

: March 26, 2004

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Applicant notes that since the application filing date is after June 30, 2003, copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he/she is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his/her invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicant believes this Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Karl R. Hermanns

Registration No. 33,507

Enclosures:

Form PTO-1449
Cited References (14)
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Sheet <u>1</u> of <u>5</u>

Date: March 26, 2004

FORM PTO-144 (REV.7-80)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. APPLICATION NO. 740082.407 10/695,416

APPLICANT

Ragina Naidu

FILING DATE GROUP ART UNIT

October 27, 2003 1625

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,814,470	03/21/89	Colin et al.	514	449	
	AB	4,924,011	05/08/90	Denis et al.	549	510	
	AC	4,924,012	05/08/90	Colin et al.	549	510	
	AD	4,960,790	10/02/90	Stella et al.	514	449	
	AE	5,136,060	08/04/92	Holton	549	510	
	AF	5,175,315	12/29/92	Holton	549	510	
	AG	5,200,534	04/06/93	Rao	549	510	
	AH	5,229,526	07/20/93	Holton	549	213	
	AI	5,254,703	10/19/93	Holton	549	510	
	AJ	5,336,785	08/09/94	Holton	549	214	

FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	TRANSLATIO		
 	NUMBER		COUNTRY	YES	NO	
AK	0 253 738 B1	01/31/90	EP (+ Abstract in English)			
AL	0 617 018 B1	10/01/03	EP			

OTHER PRIOR ART (Including Author Title Date Pertinent Pages Etc.)

 	OTHER FRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)
AM	Cammercon et al., "Improved Protection and Esterification of a Precursor of the Taxotere and Taxol Side Chains," <i>Tetrahedron 33</i> (36):5185-5188,1992.
AN	Cravellee et al., "Methyleniminium Salts as Acylating AgentOne Step Synthesis of Baccatin III from 10-Deacetylbaccatin III with High Selectivity," <i>Tetrahedron Letters</i> 39:4263-4266, 1988.
AO	Damen et al., "Lanthanide Trifluoromethanesulfonate Catalysed Selective Acylation of 10-Deacetylbaccatin III," <i>Tetrahedron Letters 39</i> : 6081-6082, 1998.
AP	Denis et al., "A Highly Efficient Practical Approach to Natural Taxol," J. Am. Chem. Soc. 110: 5917-5919, 1988

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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FORM PTO-1449 (REV.7-80)				DEPARTMENT OF		ATTY. DOCKET NO. 740082.407		APPLICATION NO. 10/695,416			
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	BA	5,3	350,866	09/27/94	Holton et al.		549	510			
	вв	5,3	352,806	10/04/94	Gunawardana et al. Rao		549	510			
	вс	5,3	367,086	11/22/94			549	510			
	BD	5,4	105,972	04/11/95	Holton et	al.	549	214			
	BE	5,4	112,116	05/02/95	Murray et	al.	549	379			
	BF	5,4	116,225	05/16/95	Danishefs	ky et al.	549	341			
	BG	5,4	122,364	06/06/95	Nicolaou	et al.	514	449			
	вн	5,430,160		07/04/95	Holton		549	510			
	ВІ	5,466,834		11/14/95	Holton		549	510			
	вл 5,468,769				Klein et a	l.	514	449			
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	Div		Baccatin III,"	Tetrahedro	n Letters 39	9:2883-2886, 1998.					
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			_	•		Biological Properties of	Novel	l C-10 Taxol	Analog	;s,"	
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			1994.	•	`	,	Ü			ĺ	
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EXPRESS MAIL NO. EV336594556US Sheet 3 of 5 APPLICATION NO. ATTY, DOCKET NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE 740082.407 10/695,416 APPLICANT Ragina Naidu INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE GROUP ART UNIT October 27, 2003 1625 **U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL IF APPROPRIATE 11/25/98 514 376 5,470,866 Kingston et al. 514 320 5,475,011 12/12/95 Ojima et al. CB CC 5,478,854 12/26/95 Farina et al. 514 374 5,489,589 02/06/96 Wittman et al. 514 232.8 CD 514 5,489,601 02/06/96 Holton et al. 337 CE 08/20/96 514 449 5,547,981 Greenwald et al. CF cg | 5,576,450 11/19/96 Bouchard et al. 549 510 510 сн | 5,587,493 12/24/96 Bouchard et al. 549 5,594,157 01/14/97 Gunawardana et al. 549 510 CI 548 215 CJ 5,606,068 02/25/97 Mas FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES CK OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) Klein et al., "Antitumor activity of 9(R)-dihydrotaxane analogs," J. Med. Chem. 38(9):1482-CL 1492, April 1995. McCarthy et al., "Antifungal activity of meridine, a natural product from the marine sponge CM Corticium sp," J. Nat. Prod. 55(11): 1664-1668, November 1992. Magri et al., "Modified Taxols, 4 Synthesis and Biological Activity of Taxols Modified in CN the Side Chain," Journal of Natural Products 51(2): 298-306, 1988. Ojima et al., "Efficient and Practical Asymmetric Synthesis of the Taxol C-13 Side Chain, CO N-Benzoyl-(2R,3S)-3-Phenylisoreine, and its Analogues via Chiral 3-Hydroxy-4-Aryl-ß-Lactams Through Chiral Ester Enolate-Imine Cyclocondensation," J. Org. Chem. *56*(5):1681, 1991 Ojima et al., "New and Efficient Approaches to the Semisynthesis of Taxol and its C-13 CP Side Chain Analogs by Means of the B-Lactam Synthon Method", Tetrahedron 48(34):6985-7012, 1992. **EXAMINER** DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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Date: March 26, 2004

FORM PTO-1449 (REV.7-80)			DEPARTMENT OF ENT AND TRADEM		MERCE ATTY. DOCKET NO. APPLICATION NO.				<u> </u>							
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLAS	S SUBCLASS	FILING IF APPRO								
	DA	5,606,083	02/25/97	Bouchard	et al.	549	510									
	DB	5,616,739	04/01/97	Mas et al.		549	510	**								
	DC	5,616,740	04/01/97	Klein et a	1.	549	510									
	DD	5,621,121	04/15/97	Commerc	on et al.	549	510									
	DE	5,646,176	07/08/97	Golik et a	1.	514	444									
	DF	5,654,447	08/05/97	Holton et	al.	549	510									
	DG	5,654,449	08/05/97	Bouchard	et al.	549	510									
	DH	5,670,658	09/23/97	Bastart et	al.	549	214									
	DI	5,688,977	11/18/97	Sisti et al.		549	510									
	DJ	5,693,666	12/02/97	Chen et al		514	444									
	DK	5,703,247	12/30/97	Kingston	et al.	548	962									
	DL	5,705,508	01/06/98	Ojima et a	al.	514	320									
	DM	5,714,513	02/03/98	Holton et	al.	514	449									
	DN	5,750,736	05/12/98	Sisti		510										
	DO	5,773,461	06/30/98	Wittman e	et al.	514	449									
	DP	5,780,653	07/14/98	Tao et al.		549	510									
	DQ	5,811,452	09/22/98	Ojima et a	ıl.	514	449									
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E	EA	5,874,595	02/23/99	Damen et	al.	549	549 510			
E	ЕВ	5,914,411	06/22/99	Sisti et al.	<u> </u>	549	549 510			_
E	EC	5,965,752	10/12/99	Zamir et a	1.	549	510			
Е	ED	6,107,497	08/22/00	Sisti et al.		549		510		
Е	EE	6,147,234	11/14/00	Holton et	al.	549		510		
Е	EF.	6,175,023	01/16/01	Liu		549		510		
Е	EG	6,187,916	02/13/01	Ojima				354		
Е	ЕН	6,197,981	03/06/01	Liu				510		
E	ΞI	5,218,553	04/17/01	Ojima				510		
E.	EJ	6,222,053	04/24/01	Zamir et al.				510		
Е	EK	6,225,463	05/01/01	de Vos et	al.	540		357		
Е	EL	6,262,281	07/17/01	Swindell e	et al.	549		510		
Е	ЕМ	6,307,088	10/23/01	Swindell e	et al.	560		27		
E	EN	6,458,977	10/01/02	Holton	Holton			510		
E	O.	6,479,678	11/12/02	Holton		549		510		
E	EP	6,576,777	06/10/03	Zamir et a	ir et al.			510		
E	Q.	RE 34,277	06/08/93	Denis et a	1.	549		510		
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